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r. PTO/SB/21 (12/97)
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**Application Number** TRANSMITTAL Filing Date First Named Inventor Venkatesh Gopinath ondence after initial filing) **Group Art Unit Examiner Name** this submission Attorney Docket Number 01-555 ENCLOSURES (check all that apply) After Allowance Communication Fee Transmittal Form Assignment Papers to Group Appeal Communication to Fee Attached Drawing(s) Board of Appeals and Appeal Communication to Group Amendment/Response Licensing-related Paper (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Affidavits(s)/declaration(s To Convert a Status Letter **Provisional Application** Power of Attorney, Change of Extension of time reques Additional Enclosure(s) Correspondence Address (please identify below): Express Abandonment Reques 1. Return address postcard Terminal Disclaimer for PTO mailroom to date Information Disclosure Statemen Small Entity Statement stamp. Certified Copy of Priority Request for Refund Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Sandeep Jaggi, Reg. No. 43,331, Phone: [+1] 408-433-7475 Individual Name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Cashlo Manu Kashyap, Phone: [+1] 408-433-7475 7 /97 Typed or printed name Signature

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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## FEETRANSMITTAL

These are the fees effective October1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. 1.27 and 1.28.

(\$)240.00

Complete if Known					
Application Number					
Filing Date	November-14, 2001				
First Named Inventor	Venkatesh Gopinath				
Group Art Unit					
Examiner Name					
Attorney Docket No.	/ 01-555				

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)					
	3. AI	DITIO	DNAL	FEES		
The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:  Deposit  Account  12-2252	Large Fee Code	Entity Fee (\$)	Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Account 12-2252 Number	105	130	205	65	Surcharge - late filing fee or oath	
Deposit Account LSI LOGIC CORPORATION	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
Number	139	130	139	130	Non-English specification	
Charge Any Additional Fee  Charge the Issue Fee Set in 37 C.F.R. 1.18 at the	147	2,520	147	2,520	For filing request for reexamination	
required Under 37 Mailing of the Notice of	112	920*	112	920	Requesting publication of SIR prior to Examiner action	
2. Payment Enclosed:  Check Money Other	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
U Crder U	115	110	215	55	Extension for reply within first month	
FEE CALCULATION	116	400	216	200	Extension for reply within second mont	
1. BASIC FILING FEE	117	950	217	475	Extension for reply within third mont	
Large Entity Large Entity Fee Fee Fee Fee Description Fee Paid	118	1510	218	755	Extension for reply within fourth mont	
Code (\$) Code (\$)	128	2,060	228	1,030	Extension for reply within fifth mont	
101 790 201 395 Utility filing fee	119	310	219	155	Notice of Appeal	
106 330 206 165 Design filing fee	120	310	220	155	Filing a brief in support of an appea	
107 540 207 270 Plant filing fee	121	270	221	135	Request for oral hearing	
108 790 208 395 Reissue filing fee	138	1,510	138	1,510	Petition to institute a public use proceedin	
114 150 214 75 Provisional Filing fee	140	110	240	55	Petition to revive - unavoidabl	
SUBTOTAL (1) (\$) 0.00	141	1,320	241	660	Petition to revive - unintentional	
2. EXTRA CLAIM FEES	142	1,320	242	660	Utility issue fee (or reissue	
Extra Claim Fee from Fee Paid	143	450	243	225	Design issue fee	
Total claim20**= X =	144	670	244	335	Plant issue fee	
Independent -3**= X =	122	130	122	130	Petitions to the Commissioner	
Claims Multiple Dependent =	123	50	123	50	Petitions related to provisional application	
**or number previously paid, if greater; For Reissues, see below	126	240	126	240	Submission of Information Disclosure Stm	240.00
Large Entity Large Entity Fee Fee Fee Fee Description	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Code (\$)         Code (\$)           103         22         203         11         Claims in excess of 20	146	790	246	395	Filing a submission after final rejection (37 CFR 1.129 (a))	
102 82 202 41 Independent claims in excess of 3	149	790	249	395	For each additional invention to be	$\vdash$
104 270 204 135 Multiple dependent claim, if not paid	١٦٥	100	273	555	examined (37 CFR 1.129 (b))	
109 82 209 41 **Reissue independent claims over original patent	Other	iee (spe	cify)			
110 22 210 11 **Reissue claims in excess of 20 and over original patent		ee (spe	••			
SUBTOTAL (2) (\$) 0.00	*Redu	ced by B	lasic Fil	ing Fee	Paid SUBTOTAL (3) (\$)240.0	00

SUBMITTED BY				Complete (if applicable)			
	Typed or printed name	Sandeep Jaggi	11		Reg. Number	43,331	
	Signature		MA	Date 12/18/01	Deposit Account User ID		

## IN THE UNITED ATES PATENT AND TRA EMARK OFFICE

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In re Application of:

Venkatesh Gopinath et. al.

Serial No

Filed

November 14, 2001

Shallow Trench Isolation Scheme
With Low Trench Parasitic
Capacitance And Reduced Corner
Recess

**Group Art Unit:** 

**Examiner:** 

**Atty Docket:** 

/ 01-555

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assitant Commissioner for Patents, Washington D.C. 20231, on the date indicated below:

√<del>ranu Kashyap</del>-

Connie del Caestillo 12/19/01 Connie del Castilla Signature

## INFORMATION DISCLOSURE STATEMENT

§

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

The references listed in the attached form, copies of which are attached, may be material to examination of above-identified application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR 1.56 and 1.97.

It is requested that the information disclosed herein be made of record in the application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

If it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 12-2252.

LSI Logic Corporation 1551 McCarthy Blvd., MS D-106 Milipitas, CA 95035

Date: 12/18/01

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160.00 CM

Respectfully submitted,

Sandeep Jaggi

Reg. No. 43,331

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INFO	MATIO	N DISCI	OSURE	Application Number		
CTAT	ENACTAIL	DIOOI	LICANT	Filing Date	November-14, 2001	
SIAII	CINDMI	ארר אורר	LICANI	First Named Inventor	Venkatesh Gopinath	
	DEO's a	<b>É</b>		Group Art Unit		
	DEC 2 6	2001	<del></del> -	Examiner Name		
Sheet	E.	<b>2</b>	1	Attorney Docket No.	/ 01-555	フ

	U.S. PATENT DOCUMENTS				
		U.S. Patent Docume		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Examiner Initials	Cite No.	Number Kind Co	of Cited Document		
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		6,054,379	Yau; Wai-Fan (Mountain Vie	04-25-2000	
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		6,063,702	Chung	05-16-2000	

Examiner	Date
signature	considered